

INVESTMENT APPLICATION FORM ENTITIES (INCORPORATED AND NON-INCORPORATED)

COMPANY DETAIL (PLEASE COMPLETE IN BLOCK LETTERS AND TICK WHERE NECESSARY)

COMPANY / BUSINESS NAME

CERTIFICATE OF INCORPORATION/REGISTRATION NUMBER

DATE OF INCORPORATION / REGISTRATION JURISDICTION OF INCORPORATION/REGISTRATION

BUSINESS TYPE / NATURE SECTOR/INDUSTRY

CORPORATE/OPERATING BUSINESS ADDRESS (REGISTERED OFFICE)

STREET NAME

CITY/TOWN LOCAL GOVT. AREA

STATE, COUNTRY

EMAIL ADDRESS

WEBSITE (IF ANY)

CONTACT NUMBER 1 CONTACT NUMBER 2

COUNTRY CODE NUMBER COUNTRY CODE NUMBER

PREFERRED MEANS OF COMMUNICATION POST E-MAIL IN PERSON HOLD MAILS

AVERAGE ANNUAL TURNOVER LESS THAN 50M 50M - LESS THAN 500M 500M - LESS THAN 5B ABOVE 5B

TAX IDENTIFICATION NUMBER (TIN)

SPECIAL CONTROL UNIT AGAINST MONEY LAUNDERING (SCUML) REG. NO

ACCOUNT SIGNATORY'S DETAILS

1 TITLE SURNAME

OTHER NAME FIRST NAME

MOTHER'S MAIDEN NAME

DATE OF BIRTH GENDER M F

MARITAL STATUS SINGLE MARRIED DIVORCED WIDOWED

NATIONALITY PLACE OF BIRTH

STATE OF ORIGIN LGA OF STATE OF ORIGIN

RESIDENCY STATUS PERMANENT TEMPORARY RESIDENT PERMIT NO. (IF APPLICABLE)

PERMIT ISSUE DATE PERMIT EXPIRY DATE

OTHER COUNTRY OF TAX RESIDENCE

ID TYPE NATIONAL ID DRIVER'S LICENSE INTERNATIONAL PASSPORT PERMANENT VOTERS' CARD OTHERS

IF OTHERS PLEASE SPECIFY

ID NUMBER

ID ISSUE DATE ID EXPIRY DATE

BANK VERIFICATION NO.

OCCUPATION STATUS/JOB TITLE

POSITION/OFFICE OF THE OFFICER

RESIDENTIAL ADDRESS

HOUSE NUMBER					STREET NAME														
CITY/TOWN					LOCAL GOVT. AREA														
STATE, COUNTRY																			

MOBILE NUMBER (1)

COUNTRY CODE			NUMBER						

MOBILE NUMBER (2)

COUNTRY CODE			NUMBER						

E-MAIL ADDRESS

CLASS OF SIGNATORY (PLEASE INDICATE CLASS IN THE BOX PROVIDED)

A B C

SIGNATURE

DATE

D	D	M	M	Y	Y	Y	Y

2 TITLE

OTHER NAME

MOTHER'S MAIDEN NAME

DATE OF BIRTH

D	D	M	M	Y	Y	Y	Y

GENDER M F

MARITAL STATUS

SINGLE MARRIED DIVORCED WIDOWED

NATIONALITY

PLACE OF BIRTH

STATE OF ORIGIN

LGA OF STATE OF ORIGIN

DO YOU HAVE DUAL CITIZENSHIP?

YES NO IF YES, PLEASE STATE SECOND NATIONALITY

RESIDENCY STATUS

PERMANENT TEMPORARY

RESIDENT PERMIT NO. (IF APPLICABLE)

PERMIT ISSUE DATE

D	D	M	M	Y	Y	Y	Y

PERMIT EXPIRY DATE

D	D	M	M	Y	Y	Y	Y

OTHER COUNTRY OF TAX RESIDENCE

ID TYPE

NATIONAL ID DRIVER'S LICENSE INTERNATIONAL PASSPORT PERMANENT VOTERS' CARD OTHERS

IF OTHERS PLEASE SPECIFY

ID NUMBER

ID ISSUE DATE

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ID EXPIRY DATE

D	D	M	M	Y	Y	Y	Y

BANK VERIFICATION NO.

OCCUPATION

STATUS/JOB TITLE

POSITION/OFFICE OF THE OFFICER

RESIDENTIAL ADDRESS

HOUSE NUMBER					STREET NAME														
CITY/TOWN					LOCAL GOVT. AREA														
STATE, COUNTRY																			

MOBILE NUMBER (1)

COUNTRY CODE			NUMBER						

MOBILE NUMBER (2)

COUNTRY CODE			NUMBER						

E-MAIL ADDRESS

CLASS OF SIGNATORY (PLEASE INDICATE CLASS IN THE BOX PROVIDED)

A B C

SIGNATURE

DATE

D	D	M	M	Y	Y	Y	Y

3 TITLE

OTHER NAME

MOTHER'S MAIDEN NAME

DATE OF BIRTH

D	D	M	M	Y	Y	Y	Y

GENDER M F

MARITAL STATUS

SINGLE MARRIED DIVORCED WIDOWED

NATIONALITY

PLACE OF BIRTH

STATE OF ORIGIN LGA OF STATE OF ORIGIN

DO YOU HAVE DUAL CITIZENSHIP? YES NO IF YES, PLEASE STATE SECOND NATIONALITY

RESIDENCY STATUS PERMANENT TEMPORARY RESIDENT PERMIT NO. (IF APPLICABLE)

PERMIT ISSUE DATE

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BANK VERIFICATION NO.

OCCUPATION STATUS/JOB TITLE

POSITION/OFFICE OF THE OFFICER

RESIDENTIAL ADDRESS

HOUSE NUMBER										STREET NAME												
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STATE, COUNTRY																						

MOBILE NUMBER (1)

<input type="text"/>	<input type="text"/>	<input type="text"/>
COUNTRY CODE	NUMBER	

 MOBILE NUMBER (2)

<input type="text"/>	<input type="text"/>	<input type="text"/>
COUNTRY CODE	NUMBER	

E-MAIL ADDRESS

CLASS OF SIGNATORY (PLEASE INDICATE CLASS IN THE BOX PROVIDED) A B C SIGNATURE DATE

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DETAILS OF DIRECTORS/TRUSTEES/PROMOTER/EXECUTORS/ADMINISTRATOR/PRINCIPAL OFFICERS

1 TITLE SURNAME

OTHER NAME FIRST NAME

MOTHER'S MAIDEN NAME

DATE OF BIRTH

D	D	M	M	Y	Y	Y	Y
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 GENDER M F

NATIONALITY (FOR NON-NIGERIANS) PLACE OF BIRTH

OTHER COUNTRY OF TAX RESIDENCE YES NO IF YES, PLEASE SPECIFY THE COUNTRIES

RESIDENCY STATUS PERMANENT TEMPORARY RESIDENT PERMIT NO. (IF APPLICABLE)

PERMIT ISSUE DATE

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 ID EXPIRY DATE

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BANK VERIFICATION NO.

OCCUPATION STATUS/JOB TITLE

POSITION/OFFICE OF THE OFFICER

RESIDENTIAL ADDRESS

HOUSE NUMBER										STREET NAME												
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STATE, COUNTRY																						

MOBILE NUMBER (1)

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COUNTRY CODE	NUMBER	

 MOBILE NUMBER (2)

<input type="text"/>	<input type="text"/>	<input type="text"/>
COUNTRY CODE	NUMBER	

E-MAIL ADDRESS

DETAILS OF NEXT OF KIN (SOLE-PROPRIETOR)

TITLE	<input type="text"/>	FIRST NAME	<input type="text"/>																
SURNAME	<input type="text"/>		OTHER NAME <input type="text"/>																
DATE OF BIRTH	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td></tr></table>	D	D	M	M	Y	Y	Y	Y	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	GENDER	<input type="checkbox"/> M <input type="checkbox"/> F
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MOTHER'S MAIDEN NAME	<input type="text"/>																		
RELATIONSHIP	<input type="text"/>																		
MOBILE PHONE NUMBER	<input type="text"/>	<input type="text"/>	EMAIL ADDRESS <input type="text"/>																
	COUNTRY CODE	NUMBER																	
CONTACT ADDRESS	<input type="text"/>																		
	HOUSE NUMBER	STREET NAME																	
	CITY/TOWN		LOCAL GOVT. AREA																
	<input type="text"/>																		
	STATE, COUNTRY																		

INVESTMENT RETURNS

TYPE OF PRODUCT

LIQUIDITY MGT NOTES TREASURY BILLS

OTHERS:

INITIAL INVESTMENT TENOR

30 DAYS 60 DAYS 90 DAYS 180 DAYS

365 DAYS * Investment will be automatically rolled over except otherwise advised

MODE OF PAYMENT

CHEQUE ELECTRONIC TRANSFER

CASH IS NOT AN ACCEPTABLE MEANS OF PAYMENT

BANK DETAILS

We hereby instruct FBNQuest Capital Limited to make direct transfer(s) into my account details as shown below

ACCOUNT NAME	<input type="text"/>
BANK NAME	<input type="text"/>
BRANCH NAME	<input type="text"/>
ACCOUNT NUMBER	<input type="text"/>
SORT CODE	<input type="text"/>

HOW DID YOU HEAR ABOUT US? NEWSPAPER ADVERT INTERNET FRIEND RADIO CAMPAIGN TV OTHER(S)

TERMS AND CONDITIONS

FBNQuest Capital Limited is an FBN Holdings Company.

These terms and conditions shall apply to the operation of all FBNQuest Capital Limited (FBNQC) Investment Accounts (the Accounts) and form an integral part of the Agreement with the client.

1.0 Account Opening

The client has irrevocably requested and FBNQC has agreed to open an Investment Account ("the Account") on behalf of the client.

2.0 Genuineness of Instruments

The client agrees to assume full responsibility for the genuineness, correctness and validity of all endorsement appearing on all cheques, order, bills, notes, negotiable instruments, share warrants, receipts and other documents deposited for investment purposes.

3.0 Safe Operation of Account

- 3.1 The client agrees to safely operate the account.
- 3.2 The client agrees to assume full responsibility and ensure safe custody of all print and electronic correspondence issued to/or by FBNQC regarding the account.
- 3.3 The client agrees to notify FBNQC immediately whenever he/she knows or has any reason to suspect that an unauthorized person has access to any print or electronic correspondence issued to or by FBNQC regarding the account
- 3.4 The client agrees to indemnify FBNQC against any loss, damage or liability resulting from his/her non-compliance to the above.

4.0 Instructions

The client authorizes FBNQC to honour all written instructions issued on the Account provided such orders are executed in accordance with the Account mandate.

An FBN Holdings Company

The client agrees that FBNQC may refuse to act on any instruction if:

- a) It doubts the authenticity of the instruction or does not consider it to be sufficiently clear.
- b) It believes that doing so might cause a breach of any law, regulation, code, order or contractual obligation binding on FBNQC or the client.

5.0 Third-Party enquiries

The client agrees and authorizes FBNQC without reservation to make third-party enquiries about his/her person and business now or at any time in the future prior to considering any request of the client for investment services or credit facilities. The client agrees and authorizes FBNQC without reservation to make third-party enquiries about him/her person and business now or at any time in future in order to satisfy all required Know your Customer ("KYC") obligations statutorily imposed from time to time on Financial Institutions in the Federal Republic of Nigeria.

6.0 Variation

- 6.1 The client agrees that FBNQC in its sole discretion may at any time suspend or vary the terms and conditions of the operation of the Account. FBNQC will however promptly notify the client of any suspension of service, changes regarding the operation of the Account of applicable charges and tariffs payable by the client.

7.0 Law

These terms and conditions agreed between the client and FBNQC shall be read and interpreted in accordance with the laws of the Federal Republic of Nigeria.

8.0 Termination

It is agreed that FBNQC shall terminate the operation of the Account upon receipt of the client's written instruction. Either the client or FBNQC may terminate the operation of the Account upon receipt of 72 hours prior written notice.

9.0 Data

The client agrees that FBNQC may use the information disclosed to it by the client in connection or as a result of operating the Account ("Data") for assessment and analysis and to identify products and services (including those supplied by third parties) which may be relevant to the client. We may disclose data:

- a) To credit reference agencies, any person who may assume our rights under this Agreement, a member of FBN Holdings Group, or
- b) If we have a right or duty to disclose or are compelled to do so by law.

10.0 Contact details

The client agrees that FBNQC will use the address and any other details given on the Account opening documentation to contact the client. The client agrees to immediately inform FBNQC of any changes or additions to those details. All notices and correspondence required to be provided by FBNQC to the client will be forwarded to that address until FBNQC receives a written notification of the clients change of address

11.0 Fees and Charges

The client agrees that FBNQC shall set-off against the account any pre-advised charge(s), tariff(s), deductions or costs associated with the operation of the account by the client.

12.0 Operation of Account

- 12.1 The client agrees that the operation of the account is subject to compliance by FBNQC with all laws, regulations, administrative rules and orders which may from time to time be authorized by the Federal Government of Nigeria and/or any other regulatory authorities in Nigeria.
- 12.2 In consideration of FBNQC allowing the client to operate the account from time to time, the client hereby undertakes to hold FBNQC harmless and keep FBNQC indemnified from all losses, costs, or damages FBNQC may sustain or be put to.
- 12.3 The client agrees that FBNQC is under no obligation to honour any withdrawal order on the account unless there are sufficient funds in the account to cover the value of the said withdrawal thereby rendering such instruction or order invalid and of no effect.
- 12.4 The client agrees that FBNQC will accept no liability whatsoever for funds handed to members of its staff outside office hours or outside the FBNQC to collect such funds on behalf of FBNQC. FBNQC shall from time to time communicate in writing to the client the names of officers authorized to receive funds on its behalf.
- 12.5 The client agrees that in the absence of clear disposal instruction, the invested principal amount and interest/income at maturity will be liquidated and FBNQC may at its discretion hold the funds in a non-interest bearing account pending further instructions from the client.
- 12.6 The client agrees that FBNQC shall not be liable for any loss or damages sustained by him/her by reason of the operation of the investment provided such loss or damages was not caused or facilitated by FBNQC or any of its staff action on its instruction.

13.0 Indemnity for Third-Party Instruments

The client agrees that in consideration of FBNQC issuing or accepting third-party Bank cheques, Bank drafts and/or other negotiable instruments from time to time, the client hereby irrevocably undertakes to fully indemnify FBNQC against all losses, expenses,

costs, damages or otherwise, that may occur as a result of the issuance or acceptance of the said third-party cheques, draft and/or negotiable instrument.

14.0 Right of Set-off

The client agrees that in addition to any general lien or similar right to which FBNQC may be entitled by law, FBNQC may at any time and without prior notice to the client combine or consolidate all or any of the client's accounts with and liabilities to FBNQC or any other account or in any other respect whether such liabilities be actual or contingent, primary or collateral and several or joint.

15.0 Investment Risk Warning

- 15.1 The client agrees that FBNQC shall have no responsibility or any liability to the client for any diminution of the client's investment due to any future governmental order, levy, law, tax, embargo, moratorium or imposts or depreciation in value of funds due to inflation or the unavailability of funds due to exchange restrictions on convertibility, requisitions, involuntary transfers, seizure of any character, exercise of military or usurped powers, or other similar causes beyond the control of FBNQC and that any or all funds standing to the credit of the account will be payable only in such local currencies as may then be as in circulation in the Federal Republic of Nigeria.
- 15.2 The Client by entering into this agreement hereby understands the risks inherent in investing in the risks involved and that the value of investments may fall as well as rise and the past performance of investments is not a guide to future performance.

16.0 Acceptable funds transfer Instructions

The client agrees that all instructions on the account shall be duly signed according to the account mandate. The client hereby acknowledges that the use of facsimile, untested telexes, photocopied letters, electronic mails (on the letter head or otherwise) or other unsecured means of communication to convey instructions for funds transfers of any other such instructions not backed by a duly signed original letter from the client, whatever the case may be, is associated with additional risks of fraud exposure.

The client shall execute an indemnity form in consideration of FBNQC agreeing to accept and act upon any such instructions, communication and documents by facsimile, untested telexes, electronic mails or photocopied letters issued according to the account mandate unaccompanied by an original copy of the clients duly signed letter, irrevocably undertaking to indemnify FBNQC and hold it harmless from and against all cost (including but without limitation to legal fees and expenses, claims, losses, liabilities and damages).

FBNQC shall have absolute discretion, for any reason whatsoever, to act or not to act, upon documentation received by facsimile, untested telex, electronic mail or photocopied letter unaccompanied by a duly signed original copy of a letter issued by the client and / or to request verification of documents received by such means.

17.0 Disruption of Service(s)

The client agrees that FBNQC shall have no liability for failure to provide any agreed service(s) due to reasons beyond its reasonable control. These reasons include but are not limited to industrial action, failure of electricity supply, riots, civil commotion, political unrest or armed insurrection.

DECLARATION

We declare that:

- The entity is duly registered under the relevant laws
- The information given is correct to the best of our knowledge and belief, and we will inform FBNQuest Capital Limited of any change in the information given in this form within 10 working days of such change
- The funds and sources of such funds and or assets are legitimate and not directly or indirectly the proceeds of any unlawful activity

We agree:

- To comply with the minimum holding period(s) of the investments failing which we accept any loss, cost and charge that may arise as a result of redemption
- That a Certificate/Statement in respect of this investment may be sent by email, at our risk, to the address given above.

Note:

- Check that you have completed ALL sections of the application form relevant to you.

SIGNATURE/THUMBPRINT OF SIGNATORY 1	
COMPANY SEAL	

SIGNATURE/THUMBPRINT OF SIGNATORY 2	
COMPANY SEAL	

DATE

D	D	M	M	Y	Y	Y	Y

DATE

D	D	M	M	Y	Y	Y	Y

FOR INTERNAL USE

REQUIREMENT CHECKLIST

S/N	DOCUMENTS REQUIRED	CHECKED	DEFERRED	WAIVED	N/A
a.	Duly completed and signed account opening form (all relevant fields must be completed)				
b.	Duly completed and signed specimen signature card(s), where required				
c.	Board or Partnership Resolution / Mandate letter / Approval letter (for Public Sector Organisations).				
d.	Two (2) clear passport-size photographs of each signatory, with names written on the reverse side				
e.	The Sighted, Notarised or Certified copy of the Certificate of Registration				
f.	The Sighted, Notarised or Certified copy of the Memorandum & Articles of Association (MEMART) or its equivalent, Partnership Deed (Partnership constitution), the club, society, association or charity's constitution or its equivalent				
g.	The copy of the enabling Act/Decree (where applicable)				
h.	The Sighted, Notarised or Certified copy of the regulatory or supervisory license to operate, if any				
i.	The Sighted, Notarised or Certified copy of the Particulars of Shareholders or its equivalent				
j.	The Sighted, Notarised or Certified copy of the Particulars of Directors or its equivalent				
k.	The Sighted, Notarised or Certified copy of the proof of registered address of the company				
l.	The Sighted, Notarised or Certified copy of the means of identity and proof of address of all signatories to the account, at least two (2) Directors and each individual shareholder with at least 5% interest, or partner as applicable				
m.	The Sighted, Notarised or Certified copy of the incorporation documents of corporate shareholders with at least 5% interest				
n.	The Sighted, Notarised or Certified copy of the valid residence permit of a resident non-Nigerian signatory, director and/or shareholder with at least 5% interest				
o.	Search Report (where applicable)				
p.	The Sighted, Notarised or Certified Copy of the Certificate issued by the Special Control Unit against Money Laundering (SCUML) - (where applicable)				
q.	Tax Identification Number (TIN), if any				
r.	Duly completed KYC/AML questionnaire on FBNQC's letterhead (for Financial Institutions)				
s.	Latest Annual Accounts and Report of the company, if any				

AUTHENTICATION FOR POLITICALLY EXPOSED PERSONS AND FINANCIALLY EXPOSED PERSONS

ARE ANY OF THE SIGNATORIES, DIRECTORS OR SHAREHOLDERS POLITICALLY EXPOSED? YES NO
 ARE ANY OF THE SIGNATORIES, DIRECTORS OR SHAREHOLDERS FINANCIALLY EXPOSED? YES NO

RISK ASSESSMENT PROFILE

HIGH RISK - CATEGORY A MEDIUM RISK - CATEGORY B LOW RISK - CATEGORY C

CUSTOMER KYC CATEGORY

CORPORATE CLIENTS - LOCAL FI/FOREIGN FI/LOCAL NON FI/FOREIGN NON FI REGISTERED PARTNERSHIP REGISTERED BUSINESS OTHERS

VERIFIED BY RELATIONSHIP MANAGER	
SIGNATURE	
DATE	
CHECKED BY COMPLIANCE	
SIGNATURE	
DATE	

APPROVED BY OPERATIONS	
SIGNATURE	
DATE	

· Please note that all information provided may be verified using independently sourced documents, data or information. They may be kept up to date and shared with other affiliates/subsidiaries of the FBN Holdings Group.